



Robbins Securities Inc. Business Continuity and Disaster Recovery Plan

Data Recovery

Robbins Securities Inc. (RSI) refers accounts to BEST Direct Securities, LLC. (BDS). Customer electronic account data is stored by BDS. BDS has demonstrated to RSI that it has satisfactory continuity and disaster recover plans in place. All data stored on computers at the RSI office is backed up to storage disks every day. Duplicates of these disks are stored at the residence of the Treasurer of affiliate company Robbins Trading Company in a fireproof safe. Therefore, all data kept and used by the firm would be accessible in the event that the main office was not accessible.

Photocopies of account paperwork previously introduced by RSI to other broker-dealers is maintained at RSI is also maintained in duplicate at the customer's executing broker or clearing firm.

Business Operations

In an emergency, customers should contact their RSI broker at alternate phone numbers: Primary (1-312-441-6697) Secondary (1-312-424-0563).

All firm employees have been provided home and cellular phone numbers for each other. A principal of RSI will contact employees to ensure safety. In the event that RSI's main office is not accessible, employees would work at alternative office space located at 311 W. Monroe, Suite 1300, Chicago, IL 60606.

Conclusion

As with all business continuity and disaster recovery plans, principals of the firm will continually review the plan and make adjustments and revisions as necessary.

Primary Contact

Charles Frank
1-847-564-9275 (Home)
1-847-564-0707 (Cell)

Secondary Contact

Anthony Leidolf
1-847-931-9620 (Home)