

Open an Account

Introduced by

**ROBBINS**  
TRADING COMPANY



## ACCOUNT OPENING INSTRUCTIONS

### I. Accessing the form you need

Robbins Trading Company introduces accounts to multiple Futures Commission Merchants (FCMs). Your Robbins Account Executive can advise on the FCM that is most appropriate for your account needs. When you have determined the FCM you will be using, go to that section on the Robbins Trading Company Account Forms page to access the paperwork you will need. To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. **What this means for you:** When you open an account, we will ask you for your name, address, date of birth, and a photo copy of a driver's license or other government-issued ID that will allow us to identify you. We may also ask for other identifying documents.

Note that online application submissions may be available for certain account types only depending on the FCM you choose; applications for other account types are available for download. Submission of the following documents is required whether you download the paperwork or apply online:

- A photo copy of a driver's license or other government-issued ID – all applicants
- W8BEN – for foreign account transfers
- Spouse Employment form – when opening a Joint Account
- Own Funds letter – if self-employed or employed in the financial services industry
- Letter of Direction – if participating in an AutoTrade™ program

### II. Funding your account

A. Please contact Robbins Trading Company for Check Deposit and Bank Wiring instructions.

- Cashier's checks, Official checks, Bank checks and money orders will not be accepted.
- Bank wires are considered cleared upon receipt. Personal checks and money market drafts may require up to 5 business days to clear.

B. Transfer cash and/or open positions from another brokerage firm by executing the Account Transfer Form.

### III. Notice to customers

On your account paperwork, a street address or rural route is required. P.O. boxes will not be accepted. Non-U.S. citizens must include a copy of a valid passport or other government-issued ID with their application. Robbins Futures Inc. (RFI) reserves the right to verify information contained in your application and in other documents that may be required in connection with this Agreement. You authorize all relative parties to provide to RFI any and all information and documentation that RFI requests, including but not limited to income, credit history and balances in bank, money market and other similar accounts.

Each transaction entered into on your behalf will be confirmed in writing and mailed to you no later than the business day following the day of the transaction. Should you receive a "Daily Confirmation Statement" or "Monthly Statement" that contains transactions that appear to be incorrect or inaccurate in any way, you must immediately call an officer of RFI. Should you fail to receive a "Combined Commodity Statement" within 5 business days confirming any transaction you believe was made on your behalf, you must immediately call an officer of RFI. All accounts will be charged a monthly processing fee of \$15. To offset carrying costs, accounts that have a balance of less than \$5,000 during the month and do not trade at least once during the month will be charged an inactivity fee of \$50 on the first business day of the following month.

Only an officer of RFI has the authority to approve any adjustment to your account. This includes adjustments to your position as well as adjustments to your ledger balance. Your failure in any case to follow the above instructions shall be deemed ratification by you of any trades that appear or fail to appear in your account. Failure to notify RFI may preclude you from receiving an adjustment to your account at a later date.

### IV. Sending completed paperwork

Completed applications may be faxed to 1-773-714-0900, scanned and emailed to [info@robbinstrading.com](mailto:info@robbinstrading.com), or mailed to:

Robbins Trading Company  
8700 West Bryn Mawr  
7th Floor, South tower  
Chicago, IL 60631-3507

Robbins Trading Company will also be happy to arrange for complimentary FedEx pick-up of your completed paperwork. To arrange for this service, please call your Investment Consultant today at 1-800-453-4444 or 1-773-714-9000.