

ACCOUNT TRANSFER REQUEST

TRANSFER ACCOUNT FROM

Name _____ Telephone # _____

Address _____

CUSTOMER INFORMATION

Account Title _____ Telephone # _____

Address _____

Account # (s) _____ Account Executive or Introducing Broker _____

I / WE HEREBY REQUEST THAT YOU CANCEL ANY PENDING OPEN ORDERS AND TRANSFER IMMEDIATELY ALL OF THE CASH BALANCES, OPEN POSITIONS, MARGIN DEPOSITS, TREASURY BILLS AND/OR ANY OTHER COLLATERAL IN MY (OUR) ACCOUNT(S) TO:

STRAITS FINANCIAL LLC - ONE FINANCIAL PLACE, FLOOR 39, CHICAGO, IL 60605

If joint account or general partnership, all persons must sign. If this is a limited partnership account, the general or managing partner must sign. If an LLC, managing member(s)/members must sign. Authorized officer(s) for a corporation.

<p>Signature 1</p> <p style="font-size: 2em; text-align: center;">X</p> <p>Print Name _____</p> <p>Title (if applicable) _____ Date _____</p>	<p>Signature 2</p> <p style="font-size: 2em; text-align: center;">X</p> <p>Print Name _____</p> <p>Title (if applicable) _____ Date _____</p>
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RELATED ACCOUNT AUTHORIZATION

The undersigned (Customer) hereby authorizes and directs Straits Financial LLC ("Straits") to open a new account using all existing account documentation including but not limited to agreements and risk disclosure acknowledgements, maintained and existing on file with Straits. Customer hereby acknowledges the receipt and sufficiency of consideration in exchange for Straits' agreement to open this new account. Customer accepts and agrees to be obligated to all of the representations and terms and conditions contained within the existing account documentation, customer agreement, and other agreement, or acknowledgement of receipt of risk disclosures previously agreed to with Straits or which are herein incorporated by reference. Customer further represents that any additional account opened pursuant to this authorization is identical in all respects to customer's existing account, except as otherwise disclosed to Straits in writing, and further represents that there have been no material changes in customer's personal information or financial condition as previously disclosed in prior account documentation. Customer acknowledges that his/her separate accounts will not contain long positions in one account and offsetting short positions in another account unless such accounts are independently traded or unless one account is a Speculative Account and the other is a Hedge Account. In any event, Customer understands that positions in separate accounts cannot be transferred from one account to another account if such transfer would result in an offsetting transaction.

Account Title _____ Reason for Additional Account _____

Existing Account # _____ New Account # _____

Does the new account grant Power of Attorney to a third party? Yes No

If Yes, the name of the POA? _____

All account holders must sign.

<p>Signature 1</p> <p style="font-size: 2em; text-align: center;">X</p> <p>Print Name _____</p> <p>Title (if applicable) _____ Date _____</p>	<p>Signature 2</p> <p style="font-size: 2em; text-align: center;">X</p> <p>Print Name _____</p> <p>Title (if applicable) _____ Date _____</p>
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